

Corporate Risk Overview

2024/2025 Q2

Active Corporate Risks

14

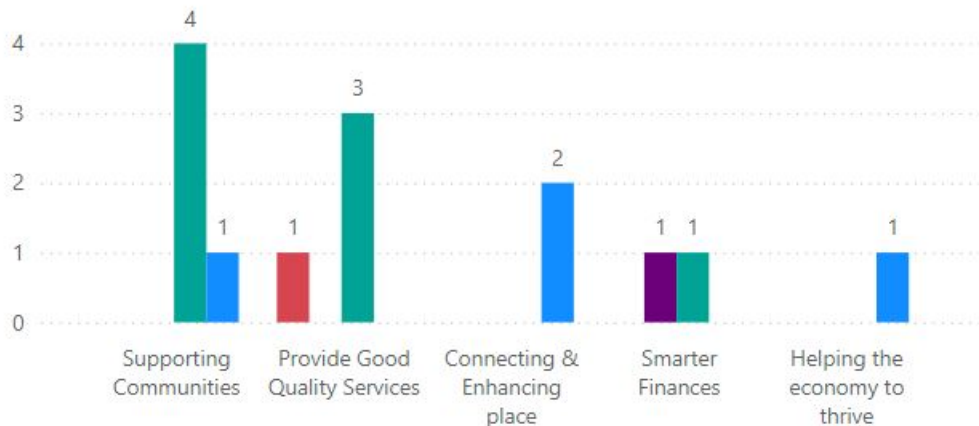
Risks with active mitigating actions
APPENDIX 1

2

Mitigating actions are identified to strengthen existing controls &/ risk reduction. They should be clearly defined and delivered, with a lead officer and timescales for implementation/completion.

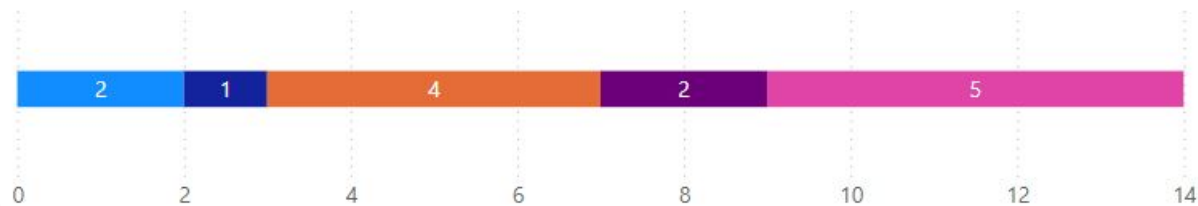
Risk Category

● Compliance ● Financial ● Operational ● Strategic



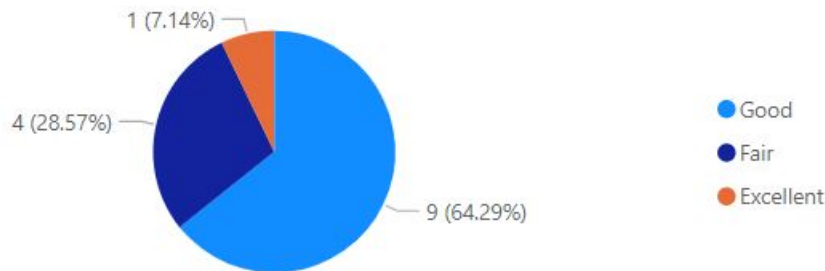
Affected Priority

● Connecting & Enhancing ... ● Helping the economy t... ● Provide Good Qu... ● Smarter Finances ● Supporting Co...



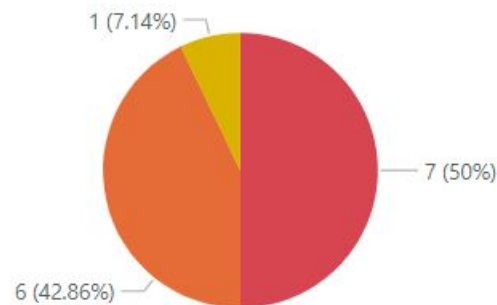
Risk Control Strength

A control is an embedded action or process that helps control or minimise the likelihood &/ impact of the risk

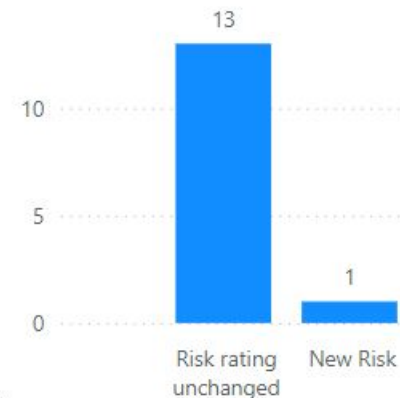


Tolerance Split

● Red Tolerance ● Amber Tolerance ● Yellow Tolerance



Risk Direction



Acronym Table

Acronym	Term
ASB	Anti-Social Behaviour
ECC	Essex County Council
ESAB	Essex Safeguarding Adults Board
LDP	Local Development Plan
MDC	Maldon District Council
OMD	One Maldon District
RP	Registered Provider
UKSPF	UK Shared Prosperity Fund
YHLS	Year Housing Land Supply

Corporate Risk Register

Ref	Risk Description	Impact	Likelihood	Overall Risk Rating	Rating direction	Q2 2024/2025 Commentary	Control Strength	Additional Mitigating Action	Year Raised
R5	Damage to the Councils reputation associated with delays to planned infrastructure delivery in the District by third parties which is due to support approved development	4	5	20	Risk Rating Unchanged	MDC is not responsible for delivering and/ or providing much of the infrastructure needed to support the delivery of the District's approved growth; instead it must seek to use its position to influence and hold other organisations to account for that delivery. MDC does however still have a very important role in securing developer contributions (S106) from relevant schemes where a likely impact on infrastructure can be evidenced, to justify mitigation where a grant of permission could then be deemed acceptable. Whilst development is visibly occurring in the District, it has not been at the pace forecast in the LDP in 2017, which has had a knock on effect on the payment triggers for many S106 funds. This money will come when the triggers are met, but this is leading to a slowing in the pace of infrastructure delivery on the ground and can, seem to the public, as though development in the District is not providing infrastructure upgrades, despite the lawful process being followed by developers and MDC/ECC. MDC continues to secure S106 contributions and it is robustly monitoring them to ensure when sums are owed they are being paid and if not recovery action will be followed. This is reported annually every Q1/Q2 to PGA Committee in the Infrastructure Funding Statement. MDC's Infrastructure Delivery Plan remains under review as part of the LDP Review and officers are proactively engaging with infrastructure providers (e.g. ECC Highways and Education and the NHS) to keep track of projects being planned in the District that were expected to be delivered between 2014 and 2029 (the LDP period). This engagement helps ensure work is started through their organisation's capital investment business case procedures to design and programme works to improve local services or facilities as as quickly as possible. Officers remain alert to national spending reviews that could impact on budgets of third parties and possible Government funding and will remain in discussions with all partners such as ECC, Homes England	Good - controls in place are considered adequate and reduce the risk	None Required	2014/15
R8	Failure to meet the affordable housing need	5	4	20	Risk Rating Unchanged	Housing Officers continue to work with developers and registered providers (RPs) and other partners regarding the provision of affordable housing and have on certain schemes negotiated an increase in the required Policy compliant provision of affordable housing and secured the type of affordable housing currently in the greatest demand. With a new Head of Housing joining MDC from mid-October, they will begin working on a Strategic Housing Development & Regeneration Strategy. This strategy will use data to inform demand and the types of accommodation needed within the District, as well as providing a robust plan for how MDC will meet its affordable housing targets.	Fair - some controls in place and some reduction in risk but still not adequate	Under Review	2016/17
R15	Failure to plan and deliver balanced budgets over the medium term	5	4	20	Risk Rating Unchanged	No change to risk score or controls compared to last year. The medium term gap reported in February will potentially grow due to non-delivery of some savings, and new staffing pressures emerging; these are currently being reviewed by the Finance Member Group and savings options being considered before being recommended to Strategy & Resources Committee, however the longer term financial position remains challenging. The Leisure contract is in the process of being reviewed which may affect the MTFS if approved.	Good - controls in place are considered adequate and reduce the risk	None Required	2008/09

Ref	Risk Description	Impact	Likelihood	Overall Risk Rating	Rating direction	Q2 2024/2025 Commentary	Control Strength	Additional Mitigating Action	Year Raised
R14	Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service(i.e.) Planners	4	4	16	Risk Rating Unchanged	The work mentioned in Q1 continues and there has been some success in recruiting permanently to one of the planning post. The situation remains under discussion and review by Officers.	Good - controls in place are considered adequate and reduce the risk	Yes	2015/16
R31	Inadequate staffing structure and resource for resilience	4	4	16	Risk Rating Unchanged	The work mentioned in Q1 continues. The Chief Executive will commence duties with the Council on 14 October and begin to shape the structure to meet future needs.	Fair - some controls in place and some reduction in risk but still not adequate	Under Review	2021/22
R33	Lack of Temporary Accommodation & Social Housing to cope with demand	4	4	16	Risk Rating Unchanged	Completion of the final works at Cecil Mary House this month has ensured there is now provision for single people with low level support needs comprising 6 units (all ground floor have wet rooms) in the district. Whilst this is not temporary accommodation this additional accommodation will reduce the need for single people to be placed in Bed and Breakfast accommodation if threatened with homelessness. The purchase of Stephens House in April this year as temporary accommodation for families has increased MDC's provision of temporary accommodation from 1 to 6 units of accommodation.	Fair - some controls in place and some reduction in risk but still not adequate	Under Review	2022/23
R35	New policy/legislation impacts work already in progress or our ability to deliver based on current service set up	4	4	16	New Risk	New risk raised and accepted onto the Corporate Risk Register as of Q2 24/25	Fair - some controls in place and some reduction in risk but still not adequate	Under Review	2024/25
R1	Failure to safeguard children and vulnerable adults	5	2	10	Risk Rating Unchanged	The Safeguarding Policy is being reviewed and will be ready for renewal in April. Officers are attending regular Essex Wide Safeguarding meetings to keep up to date, discuss best practice and relevant cases, along with attending peer review RE safeguarding audits & Essex Safeguarding Adult Board (ESAB) Conference. The Safeguarding and Anti-Social Behaviour(ASB) Forum has also been reinstated.	Good - controls in place are considered adequate and reduce the risk	None Required	2012/13

Ref	Risk Description	Impact	Likelihood	Overall Risk Rating	Rating direction	Q2 2024/2025 Commentary	Control Strength	Additional Mitigating Action	Year Raised
R7	Failure to maintain a 5 year supply of Housing Land	5	2	10	Risk Rating Unchanged	<p>Update of Maldon District 5YHLS position confirmed as 6.3 years in May 2024. This is the baseline position that will be used to defend the Council's land supply position at planning appeals. Monitoring of 5YHLS will occur in-year when officers are required to support in-year data updates for the planning appeal process.</p> <p>There is an emerging risk due to changes to the Government's approach to setting housing targets for each local planning authority area as part of changes to the National Planning Policy Framework that may mean the 5YHLS falls without any further mitigation possible by Maldon District Council. It will continue to be closely monitored to determine how the land supply is influencing planning decisions in the District.</p>	Good - controls in place are considered adequate and reduce the risk	None Required	2017/18
R32	Uncertainty of the cost of living crisis impacts	3	3	9	Risk Rating Unchanged	The work mentioned in Q1 continues and officers look to support residents and vulnerable persons. Officers will access any impacts resulting from the Budget in late October 2024	Good - controls in place are considered adequate and reduce the risk	None Required	2022/23
R2	Failure to target services and influence partners with the aim of having an effective outcome on the identified (health and wellbeing) needs of the vulnerable population	4	2	8	Risk Rating Unchanged	The risk rating remains unchanged due to our continued strong working relationships with district and regional partners. We continue to work in partnership to support our most vulnerable residents with Mid Essex Alliance, One Maldon District, Maldon District Community Forum, Livewell Board and Integrated Neighbourhoods. Between October 2024 and March 2025 One Maldon District will be rolling out UKSPF funded Thriving Places projects in partnership with Mid Essex Alliance and the Centre for Thriving Places to support our most vulnerable communities. Primary outcome being targeted is mental health and its interconnections with community cohesion, community safety and usage of green spaces	Good - controls in place are considered adequate and reduce the risk	Yes	2016/17
R4	Failure to influence Community Safety partners to address the key areas of public concern (including rural crime) and the negative perception of crime	4	2	8	Risk Rating Unchanged	Having one of the lowest rates of crime reported in Essex underlines the work being carried out by the Community Safety Partnership. A number of proactive engagement activities have been completed in this review period across the district. Work with schools and education continues with projects such as Crucial Crew (year 6) and hate crime training at Ormiston Academy to name but two. Members are updated twice a year through the Overview and Scrutiny Committee acting as the Crime and Disorder Committee	Good - controls in place are considered adequate and reduce the risk	None Required	2017/18

Ref	Risk Description	Impact	Likelihood	Overall Risk Rating	Rating direction	Q2 2024/2025 Commentary	Control Strength	Additional Mitigating Action	Year Raised
R11	Failure to protect personal or commercially sensitive data	4	2	8	Risk Rating Unchanged	Data Protection Policy, Document Retention Policy and Sensitive Information Policy all approved by Council on 12 September 2024. Data Breaches have reduced and continue to remain low.	Good - controls in place are considered adequate and reduce the risk	None Required	2009/10
R34	Failure to have a structured way to engage and support local business	2	2	4	Risk Rating Unchanged	This risk continues to be mitigated by delivery of the funded Supporting Local Business engagement programme. The success of the delivery is evidenced through the Corporate KPI reporting. It should be noted the delivery of the above contract is externally funded, via the UK Shared Prosperity Fund, until mid-February 2025. If not renewed, this rating direction will change negatively.	Good - controls in place are considered adequate and reduce the risk	None Required	2023/24

Report End