

# **PART 3 – RESPONSIBILITY AND FUNCTIONS**

## **SCHEME OF DELEGATION (GENERAL PROVISIONS)**

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## **1. PRINCIPLES OF DELEGATION**

- 1.1 Section 101 of the Local Government Act 1972 provides that:
  - A Council may delegate its powers (except those incapable of delegation) to a committee or an Officer.
  - A Committee may delegate its powers to a Sub-Committee.
  - A Committee may delegate its powers to an Officer.
  - Powers which have been delegated may be exercised by the delegating body.
- 1.2 Any delegation to a Committee or a Director/Senior Officer shall be exercised in compliance with the Council's Constitution, any other policies or conditions imposed by the Council and with the law.
- 1.3 In making any decision regard shall be had to the Council's Corporate Plan and Themed Strategies, and also the principles of decision-making as set out in Article 11 of the Constitution.
- 1.4 Save in the cases of statutory appointments, a function delegated to a Director or other senior Officer shall be validly exercised if carried out on behalf of that Officer and in that Officer's name by staff authorised by him or her in writing for that purpose. Any Officer so authorised shall not extend that authorisation to another Officer. The exercise of a function in the name of a Director or other senior Officer will not be invalidated by the absence of that Officer at the time when the function was exercised.
- 1.5 In the absence of Director or other authorised Officer, or in the event of their inability to act in the exercise of a delegated power or function, another Director may exercise that power or function should the need arise.

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### **2. STATUTORY OFFICER AND OTHER DESIGNATIONS**

#### **Monitoring Officer**

1. Pursuant to Section 5 of the Local Government and Housing Act 1989, the person appointed by the Appointments Board (see Officer Employment Procedure Rules) shall be Monitoring Officer for the Maldon District Council.

#### **Head of Paid Service**

2. Pursuant to Section 4 of the Local Government and Housing Act 1989, the person appointed by the Appointments Board (see Officer Employment Procedure Rules) shall be the Head of Paid Service for the Maldon District Council.

#### **Section 151 Officer (Chief Financial Officer)**

3. Pursuant to Section 151 of the Local Government Act, 1972, the person appointed by the Appointments Board (see Officer Employment Procedure Rules) shall be the Section 151 Officer for the Maldon District Council.

#### **Registration of Electors**

4. Pursuant to Section 8 of the Representation of the People Act 1983, Mr Paul Dodson, Director of Strategy, Performance and Governance, shall be Registration Officer for the Maldon District.
5. Pursuant to Section 8 of the Representation of the People Act 1983, the Lead Elections and Democratic Services Manager shall be appointed as the Deputy Electoral Registration Officer for the Maldon District.<sup>1</sup>

#### **Returning Officer at Local Government Elections**

6. Pursuant to Section 35 of the Representation of the People Act 1983, Mr Paul Dodson, Director of Strategy, Performance and Governance, is Returning Officer for the election of Councillors of the Maldon District and of Councillors of parishes and communities within the Maldon District (interim position until post of Director of Resources is filled or otherwise 31 March 2020). Mr Dodson is also Returning Officer for the conduct of Parish Polls within the Maldon District.

#### **"Proper Officers"**

7. The Council has approved and adopted the appointment of the following officers as proper officers, as described in the following specified sections of the relevant Acts of Parliament or regulations. Proper Officer is defined for most legislation by s.270(3) Local Government Act 1972 as an officer appointed for that purpose by that body or for that area, as the case may be.

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<sup>1</sup> Minute 678 refers (Council 30 March 2023)

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| <b>The Local Government Act 1972</b> |   |   |
|--------------------------------------|---|---|
| <b>Section of Act</b>                | <b>Functions</b>  | <b>Proper Officer</b>   |
| 83(l) to (4)                         | Witness and receipt of declaration of acceptance of office                          | Programmes, Performance and Governance Manager  |
| 84                                   | Receipt of declaration of resignation of office                                     | Programmes, Performance and Governance Manager  |
| 88(2)                                | Convening of meeting of Council to fill casual vacancy in the office of Chairperson | Programmes, Performance and Governance Manager  |
| 89(l)(b)                             | Receipt of notice of casual vacancy from two local government electors              | Director of Strategy, Performance and Governance  |
| 100 B(2)                             | Circulation of Reports and Agendas  | Programmes, Performance and Governance Manager  |
| 100 B(7)(c)                          | Supply of Papers to the Press   | Programmes, Performance and Governance Manager  |
| 100 C(2)                             | Summaries of Minutes  | Programmes, Performance and Governance Manager  |
| 100 D(1)(a)                          | Compilation of lists of background papers   | Programmes, Performance and Governance Manager  |
| 100 D(5)(a)                          | Identification of background papers   | In joint reports a Director and in all other cases the Programmes, Performance and Governance Manager |
| 100 F(2)                             | Papers not open to Members  | Director of Strategy, Performance and Governance  |
| 115(2)                               | Receipt of money due from Officers  | Director of Strategy, Performance and Governance  |

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| <b>The Local Government Act 1972</b> |  |  |
|--------------------------------------|--|--|
| <b>Section of Act</b>                | <b>Functions</b>   | <b>Proper Officer</b>                            |
| 117                                  | Record of notices given by Officers of personal interests in contracts       | Monitoring Officer                               |
| 146(l)(a) & (b)                      | Declarations and certificates with regard to securities                      | Director of Strategy, Performance and Governance |
| 151                                  | Responsibility for proper administration of the Council's financial affairs. | Person appointed by Appointments Board           |
| 191                                  | Functions with respect to ordnance survey                                    | Assistant Director: Planning and Implementation  |
| 215                                  | Registrar of Local Land Charges  | Assistant Director: Planning and Implementation  |
| 225(l)                               | Deposit of documents   | Programmes, Performance and Governance Manager   |
| 229(5)                               | Certification of photographic copies of documents                            | Programmes, Performance and Governance Manager   |
| 234(l) & (2)                         | Authentication of documents  | Programmes, Performance and Governance Manager   |
| 236(9)(i)                            | To send copies of byelaws for parish records                                 | Lead Specialist - Legal                          |
| 236(10)(ii)                          | To send copies of byelaws to the County Council                              | Lead Specialist - Legal                          |
| 238                                  | Certification of byelaws   | Lead Specialist - Legal                          |

| <b>Data Protection Act 1998</b> |                         |                           |
|---------------------------------|-------------------------|---------------------------|
| <b>Section of Act</b>           | <b>Functions</b>        | <b>Proper Officer</b>     |
|                                 | Data Protection Officer | Senior Specialist - Legal |

| <b>Local Government Finance Act 1988</b> |   |  |
|--|---|--|
| <b>Section of Act</b>                    | <b>Functions</b>  | <b>Proper Officer</b>                            |
| S116                                     | Notification to auditor of the date, time and place of a proposed meeting to consider a report under Section 114 and of any decision made at the meeting. | Director of Strategy, Performance and Governance |

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| <b>Crime and Disorder Act 1998</b> |                                     |                              |
|------------------------------------|-------------------------------------|------------------------------|
| <b>Section of Act</b>              | <b>Functions</b>                    | <b>Proper Officer</b>        |
|                                    | For all matters relating to the Act | Director of Service Delivery |

| <b>Local Government Act 2000</b> |   |                       |
|----------------------------------|---|-----------------------|
| <b>Section of Act</b>            | <b>Functions</b>                                | <b>Proper Officer</b> |
| S81                              | Maintenance of Register of Interests of Members | Monitoring Officer    |

| <b>Localism Act 2011</b> |   |                       |
|--------------------------|---|-----------------------|
| <b>Section of Act</b>    | <b>Functions</b>                                | <b>Proper Officer</b> |
| S29                      | Maintenance of Register of Interests of Members | Monitoring Officer    |

| <b>Local Government and Housing Act 1989</b> |  |  |
|--|--|--|
| <b>Section of Act</b>                        | <b>Functions</b>                                       | <b>Proper Officer</b>                  |
| S3A<br>(Amended by Localism Act 2011)        | Grant of exemptions from politically restricted posts. | Head of Paid Service                   |
| S4   | Head of Paid Service                                   | Person appointed by Appointments Board |

| <b>The Openness of Local Government Bodies Regulations 2014</b> |  |  |
|---|--|--|
| <b>Regulation</b>   | <b>Functions</b>                       | <b>Proper Officer</b>                            |
| 9   | Disclosure of confidential information | Director of Strategy, Performance and Governance |

| <b>Public Health (Control of Disease) Act 1984 and related legislation</b> |  |   |
|--|--|---|
| <b>Section</b>   | <b>Functions</b>   | <b>Proper Officer</b>   |
| 48   | Issue certificates relative to the removal of bodies to mortuaries or for immediate burial | Named Officers of the Health Protection Agency appointed by the Lead Specialist - Community |

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| Food and Environmental Protection Act 1985 |  |   |
|--|--|---|
| Section                                    | Functions                              | Proper Officer  |
|  | Enforcement and Investigating Officers | Head of Environmental Health, Waste and Climate Action<br><br>Environmental Health Team Leaders, Environmental Health Officers and Technical Officers |

- (i) In the event of any other notices being required to be served under any other Section of the Local Government Act 1972 or any other enactment, and not otherwise specified in the Council's Scheme of Delegation, the Director of Strategy, Performance and Governance is designated the "Proper Officer".
- (ii) The Programmes, Performance and Governance Manager is recognised as the Officer responsible for giving public notice of the time and place of meetings pursuant to Section 100 A(6)(a).
- (iii) The Programmes, Performance and Governance Manager in consultation with the "Proper Officer" as appropriate, is recognised as the officer responsible for provision of the Agendas and Reports for public inspection pursuant to Sections 100 B(1) and 100 E(1) of the Act.

### Delegation to Officers – General (continued)

- 7. To renew Council membership of bodies or organisations, subject to the costs of any particular subscription renewal falling within the Council's estimates guideline figure.
- 9. The authorisation of directed surveillance under the provisions of the **Regulatory and Investigatory Powers Act 2000** to the undermentioned Officers:
  - Director of Strategy, Performance and Governance (as Senior Responsible Officer);
  - Specialist Services Manager;
  - Monitoring Officer.
- 10. **Emergency Action** – Any Director is authorised to act in emergencies, and where time permits in consultation with the Leader of the Council and Chairperson of appropriate Committee(s)
- 11. **Consultations** – A Director, in liaison with the relevant Lead Member (Committee Chairperson or Leader of the Council), is authorised to respond to consultation documents where the period during which a response is required does not allow the matter to be reported to the relevant committee or where the consultation is of a predominantly technical nature.

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12. **Legal Proceedings** - A Director in consultation with a Legal Advisor, Chairperson of the relevant Committee (or Vice-Chairperson in his or her absence) and Leader of the Council, be authorised to institute or defend legal proceedings on any criminal offence or civil proceedings not otherwise provided for in Officer delegation arrangements elsewhere in this document, subject to subsequent report to the next meeting of the relevant Committee. The exercise of this authorisation shall, for the avoidance of any doubt, include responding to legal representations on behalf of the other party, any directions of the Court, and the pursuance and settlement of disputes by means other than litigation.
  
13. **Financial Management** – Directors, and service managers, have responsibility for the management of the financial aspects of service provision, which includes authority to incur expenditure considered to be reasonably required to carry out the policies of the Council at any time provided that such expenditure is in accordance with the budget, is fit for purpose, and there is sufficient money existing within the budget or otherwise vired.