



**REPORT of  
DIRECTOR OF STRATEGY, PERFORMANCE AND GOVERNANCE**

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**to  
PERFORMANCE, GOVERNANCE AND AUDIT COMMITTEE  
24 MARCH 2022**

**REVIEW OF CORPORATE RISK - QUARTER 3**

**1. PURPOSE OF THE REPORT**

- 1.1 The Risk Management Policy requires this Committee to undertake a quarterly review of the Corporate Risk Register as assurance that the corporate risks are being managed effectively. **APPENDIX 1** is attached to the report for this purpose.

**2. RECOMMENDATIONS**

- (i) That Members review the Corporate Risk Register in **APPENDIX 1** and provide comment and feedback for consideration.
- (ii) That Members are assured through this review that corporate risk and is being managed effectively.
- (iii) That Members challenge risk where the Committee feels that the Council's corporate goals may not be achieved.

**3. SUMMARY OF KEY ISSUES**

- 3.1 **APPENDIX 1** shows a table of the Corporate Risk Register, the latest ratings and officer commentary.

3.1.1 Summary of risk direction / changes since Quarter Two (Q2)

- R13 -Failure to manage impact of organisational change  
Recommendation of closure.
- R31 -Resources and operating staffing structure is not sufficient for resilience.  
New Risk.
- R14 - Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service(i.e.) Planners. Likelihood and impact increase.
- R10 -Failure to develop jobs to support the growing population. Likelihood decreased.
- R3 -Failure to target services/influence partners effectively to support identified housing needs of increasing aging population. Likelihood decreased.

- R1 - Failure to safeguard children and vulnerable adults. Likelihood decreased.

### 3.1.2 Emerging Risks

- Possible review of risks around how the organisation responds and adapts to national changes from the pandemic and climate change.
- R29 – Failure to deliver services as a result of COVID- 19.
- R14 – Unable to recruit & retain staff with specific skill sets in specialist areas to meet the demands of the service (i.e.) Planners.
- R31 – Resources and operating staffing structure is not sufficient for resilience.

3.1.3 **Note concerning emerging risks from service level:** Service level risks are identified and monitored in Service Plans by the relevant Service Plan Owners. They are then escalated, if deemed appropriate and necessary, to committee level for consideration.

## 4. **CONCLUSION**

4.1 Overall, no major Corporate Risk Register concerns have been raised. COVID-19/The Pandemic is a constant presence on the landscape thus far, however the Council continues to mitigate any risks caused by this. Work continues to ensure all risks are mitigated, and where possible, working towards their closure.

## 5. **IMPACT ON STRATEGIC THEMES**

5.1 It is important that risk is monitored and managed effectively, to ensure that Maldon District Council progresses towards and/ achieves its stated outcomes.

## 6. **IMPLICATIONS**

- (i) **Impact on Customers** – Those risks in the Corporate Risk Register are defined as having a wider impact on our customers and delivery. The monitoring and mitigation of these will reduce the impact on customers.
- (ii) **Impact on Equalities** – None.
- (iii) **Impact on Risk** – If risk is not managed effectively by the Council, it puts the Council's strategic delivery at risk and increases unnecessary exposure to potential, operational, reputational, or regulatory consequences.
- (iv) **Impact on Resources (financial)** – All risk management is undertaken within existing planned budget. Exceptions being for a potential enactment of a recommendation outside of pre-defined resource.
- (v) **Impact on Resources (human)** – All risk management is undertaken within existing planned budget. Exceptions being for a potential enactment of a recommendation outside of pre-defined resource.
- (vi) **Impact on the Environment** – None.
- (vii) **Impact on Strengthening Communities** – None.

Background Papers: None.

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