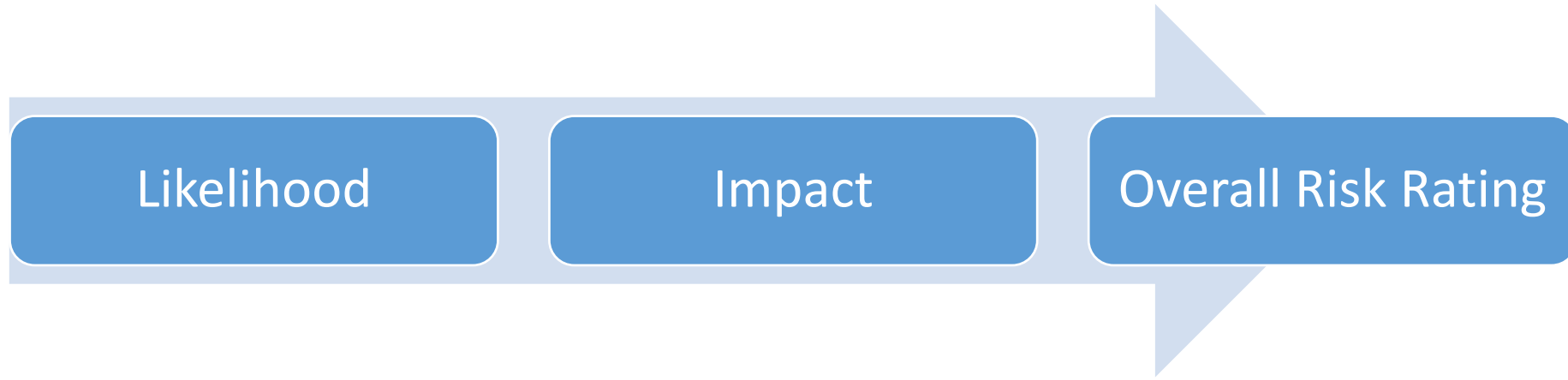


# Corporate Risk Report Q4 – Appendix 1

10th June 2021

\*Please note risks are sorted in 'Overall Risk Rating' descending order\*

# Risk Assessment Scale



Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R7	Failure to maintain a 5 year supply of Housing Land	5	5	25	Risk Rating Increased	Q4 - Risk is realised. LDP Review approved by Council March 2021 triggered by failure to maintain a 5YHLS. 'Call for sites' published on MDC website 18 March 2021 for 8 weeks for landowners to submit sites for consideration to boost the supply of land for housing (and employment). Housing Delivery Test (HDT) ok and passed.
R15	Failure to plan and deliver balanced budgets over the medium term	4	5	20	Risk Rating Unchanged	Q4 - The current MTFS shows the general fund balance falling below the minimum level of £2.6m by the end of 23/24. This is based on a number of assumptions, such as funding, which are kept under review. Proposals to address the budget gap are being developed and will come forward as part of the budget setting process.
R8	Failure to meet the affordable housing need	4	5	20	Risk Rating Unchanged	Q4 - The Council is unclear as to what additional structures and paraphernalia, of a similar degree, would likely to have been present through the lawful use. However, Full Council has agreed the need for a strategy on the use of commuted sums to ensure the best delivery of affordable housing in the District; this should assist.
R10	Failure to develop jobs to support the growing population	4	4	16	Risk Rating Increased	Q4 – Covid-19 still remains the dominant force on the economic landscape and the full impact and implications of pandemic and related restrictions continue to be unknown. With this risk persisting likelihood has been increased. The Covid-19 Economic Recovery and Transformation Plan has been created and actions are being progressed.
R25	Failure to deliver finances associated to transformation programme.	4	4	16	Risk Rating Unchanged	Q4 - There was a budget shortfall at end of year in unachieved savings and income, reflecting the ongoing challenges of the covid emergency, work prioritised in 21/22 to review opportunities and activity

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R29	Failure to deliver services as a result of COVID- 19	4	4	16	Risk Rating Increased	Q4 - The Council has maintained services throughout the pandemic and responded to Government requests to administer grant schemes to support business. CLT/ELT have adjusted resources as required but recruitment of specialists has been challenging throughout. Conditions remain challenging as we move to recovery and a Member Working group has been formed to assist officers in responding and planning recovery activity at a corporate, business and community level
R5	Failure to deliver the required infrastructure to support development arising from the LDP	4	4	16	Risk Rating Unchanged	Q4 - Infrastructure Delivery Group continues to make progress on monitoring S106 payments. The S106 Master Spreadsheet is reconciled with MDC and ECC finance for monitoring, receiving and spending S106 payments for all infrastructure supporting development arising from the LDP. IDP Review document is still awaited. LDP is to be reviewed. The risk rating should be reviewed?
R1	Failure to safeguard children and vulnerable adults	3	5	15	Risk Rating Unchanged	Q4 - We have implemented a new Safeguarding Case management system which resolves a concern from the Safeguarding Audit. We will be monitoring the new system to see how it embeds and to train DSOs in its use. Partnership meetings continue to progress with good feedback and casework discussion. More work is still needed to resolve our contractor/partnership arrangements.
R9	Failure to have a coordinated approach to supporting inward investment and maximising business rate growth	3	5	15	Risk Rating Decreased	Q4 - Following the review of the Council's Corporate Plan a Maldon District Investment Prospectus will be created to provide coordination and support inward investment and business growth. This work will also align with any future activity from ECC and the Council's review of the LDP. As the full impact of Covid is not known yet it is important this work is appropriately resourced and undertake expediently.

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R3	Failure to target services/influence partners effectively to support identified housing needs of increasing aging population	3	4	12	Risk Rating Unchanged	Q4 - Housing continues to work with our Local development partners to support the housing needs within the District. We are due to review our SHMA - Strategic Housing Market Assessments to help give us fresh perspective on what the districts needs are and to ensure we are making sure developers scheme support us in those needs. We have also learned lessons from a recent Bellway development which provided units but not in keeping with our needs. While we will certainly make use of these with our housing partners, it does highlight the need for more diligent oversight of developments.
R4	Failure to influence Community Safety partners to address the key areas of public concern (including rural crime) and the negative perception of crime	3	4	12	Risk Rating Unchanged	Q4 - the Council and partners are taking steps to address the increase in anti-social behaviour which has become a concern during lockdown. Activity for 2021 has been set based upon the strategic assessment
R14	Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service(i.e.) Planners	3	3	9	Risk Rating Unchanged	Q4 - The Council has some success in recruiting staff who live outside of the district or County who are able to undertake the duties required. The overall situation however remains challenging to recruit specialist staff and the situation remains under review
R11	Failure to protect personal or commercially sensitive data	2	4	8	Risk Rating Unchanged	Q4 - Information Asset registers due to be reviewed. New information to be provided to managers to confirm their roles and responsibilities in relation to data management. FOI Training has been provided to caseworkers. Training due to be provided to housing team.

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R2	Failure to target services and influence partners with the aim of having an effective outcome on the identified (health and wellbeing) needs of the vulnerable population	2	4	8	Risk Rating Unchanged	Q4 - Corporate plan updated with strong reference to Health and Wellbeing, and partnerships, creating the foundation for the work to be prioritised. Ongoing work with Mid Essex Health and Wellbeing Board to progress, and mainstream learning from Covid. Also to be picked up through community recovery plans.
R30	May 2021 Elections during Covid-19 pandemic - There is a major risk to successfully delivering the May 2021 Elections and Referendum's during the ongoing Covid crisis. This could lead to financial strain and reputational risk for MDC	2	4	8	Risk Rating Decreased	Q4 - All planning and tasks on track
R13	Failure to manage impact of organisational change	2	3	6	Risk Rating Unchanged	Q4 - Corporate Plan updated, resource review undertaken and additional post funding introduced in February budget. Potential to close risk.
R16	Corporate policies not managed and reviewed	2	2	4	Risk Rating Unchanged	Q4 - Policies continue to be reviewed in a timely manner