

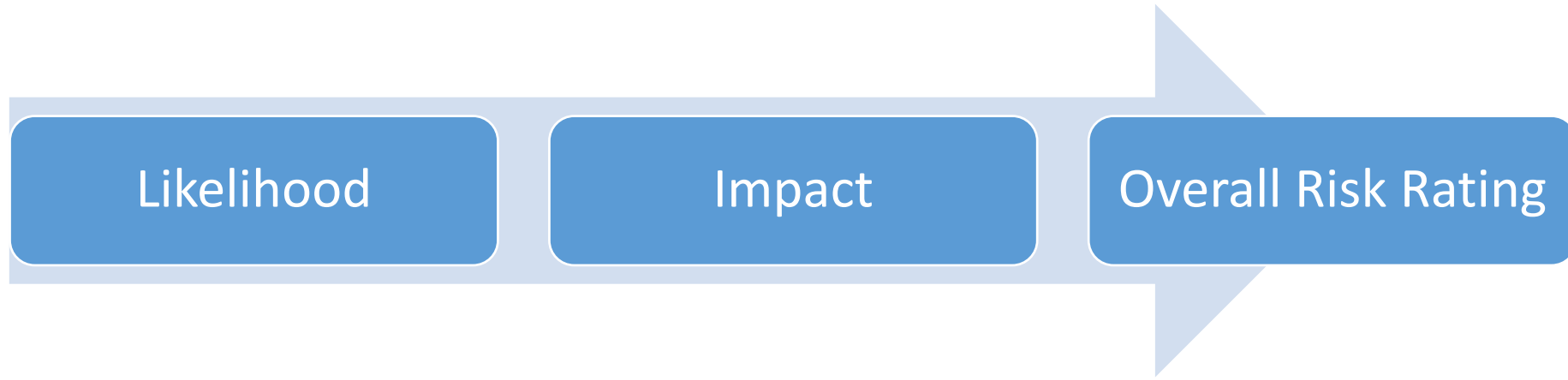
# Corporate Risk Report Q3 – Appendix 1

25/02/2021

\*Please note risks are sorted in 'Overall Risk Rating' descending order\*



# Risk Assessment Scale



Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R15	Failure to plan and deliver balanced budgets over the medium term	4	5	20	Risk Rating Unchanged	Q3 - The MTFS is constantly being updated and reported to Members. There is a significant financial impact as a result of COVID-19 but in the short term there are sufficient reserves to address this. For 2021/22 a balanced budget has been drafted for member approval but the uncertainty around COVID is a significant risk to this being delivered and the future years projections show further pressures ahead.
R7	Failure to maintain a 5 year supply of Housing Land	4	5	20	Risk Rating Unchanged	Q3 - The 5YHLS Statement has been published (December 2020) and is 4.9 years, so under the threshold of 5 years. A Master Spreadsheet for housing is being prepared to make monitoring easier and to analyse stats more quickly and clearly.
R8	Failure to meet the affordable housing need	4	5	20	Risk Rating Unchanged	Q3 - Looking to provide additional affordable housing through sites outside of the LDP process. This has been slowed down, in some instances, by Covid 19 including officers having competing work priorities. A technical issue has also arisen that MDC is looking to resolve.
R9	Failure to have a coordinated approach to supporting inward investment and maximising business rate growth	4	5	20	Risk Rating Unchanged	Q3 - Delivery has been delayed as resources have been diverted to the Covid response. The future of inward investment work by ECC remains unclear but enquires have been dealt with on a case by case basis. However, work has now been scoped for the development of a Maldon District Investment Prospectus encouraging new investment in the Maldon District, aligned with local strategic plans and the District's approved Local Development Plan for employment land sites. Supporting commercial opportunities that enrich the economic landscape and 'Place'. This is aligned with additional Place Strategy Outcomes and the Sense of Place Plan.
R25	Failure to deliver finances associated to transformation programme.	4	4	16	Risk Rating Unchanged	Q3 - No Change - ongoing challenges with delivery of additional savings and income in current environment - budget being updated to reflect position

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R5	Failure to deliver the required infrastructure to support development arising from the LDP	4	4	16	Risk Rating Unchanged	Q3 - The S106 Master Spreadsheet has been redone so it is more readable and manageable and is an effective way of monitoring commencements and completions of housing which then triggers contributions for required infrastructure delivery set out in the LDP. Awaiting final document from consultants on IDP Review (viability study is complete).
R1	Failure to safeguard children and vulnerable adults	3	5	15	Risk Rating Unchanged	Q3 - An action plan has been formed and will be delivered during 2021. Interim updates will be taken to the PGA committee for oversight.
R3	Failure to target services/influence partners effectively to support identified housing needs of increasing aging population	3	4	12	Risk Rating Unchanged	Q3 - Discussions on the purchase of development land is progressing well and appears to be on target. Housing have identified another property which could be repurposed with partners to provide supported accommodation for vulnerable people . Housing are seeking to partner with Eastern Community Homes which provides assistance with Rural Housing Schemes. This will help deliver local housing schemes fit for the local population
R4	Failure to influence Community Safety partners to address the key areas of public concern (including rural crime) and the negative perception of crime	3	4	12	Risk Rating Unchanged	Q3 - The Council continues to work in partnership to address the main areas of public concern relating to crime and the fear of crime. No change.

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R10	Failure to develop jobs to support the growing population	3	3	9	Risk Rating Unchanged	Q3 – Covid-19 still remains the dominant force on the economic landscape and the full impact and implications of pandemic and related restrictions continue to be unknown. With significant risks to existing businesses and jobs Impact has been increased. Data is starting to show the recent and current impact as very significant for the Maldon District businesses and future growth. The Covid-19 Economic Response and Recovery Plan remains in pace and actions are being progressed. Engagement with business groups continue to be a significant focus of work to support recovery. Work is progress on the Sense of Place Plan including engagement with strategic partners to develop initiatives and actions to ensure we support the creation of jobs for our growing population through investment and business growth.
R14	Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service(i.e.) Planners	3	3	9	Risk Rating Unchanged	Q3 - Recruitment remains challenging especially in area of specialists and this has led to use of interim staff which are expensive compared to salaried staff due to Agency costs. The use of Market Supplements is being reviewed to see if this will attract candidates. The situation is kept under review by CLT and ELT
R11	Failure to protect personal or commercially sensitive data	2	4	8	Risk Rating Unchanged	Q3 - Recent audit of paper records contained two recommendations in relation to polices and awareness with managers. One has already been actioned the second is due for completion by May. This will improve managers awareness of their data protection roles and increase knowledge and reduce risk

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R2	Failure to target services and influence partners with the aim of having an effective outcome on the identified (health and wellbeing) needs of the vulnerable population	2	4	8	Risk Rating Unchanged	Q3 - We are still at risk of not being able to target services where our most vulnerable residents are and what a priority is for them now until we receive post-COVID-19 data from PH and ECC bodies. It is difficult to draw conclusions on the full impact of what COVID-19 has had on our most vulnerable residents due to currently being in the 'third wave'. However, interpreting the data provided by Public Health Intelligence of Essex County Council it does suggest that our main focuses going forward will be on obesity, mental health and depression; areas that are already incorporated into the corporate plan. The Council continues to work in partnership with Maldon District CVS and partners to provide Clinically Extremely Vulnerable residents with the support they need to shield.
R23	Financial resource and process issues	2	4	8	Risk Rating Decreased	Q3 - All positions within finance have been filled with permanent staff. The 2019/20 accounts were successfully closed and audited. Internal audit recommendations have been/ are being implemented and no further audit reports have identified significant issues within finance. Challenges remain, but the risks are considered no more significant than other services and it is recommended this risk is now closed.
R29	Failure to deliver services as a result of COVID- 19	2	4	8	Risk Rating Unchanged	Q3 - The Council continues to adapt to the Covid -19 pandemic with greater emphasis in assisting the NHS and the residents of the district since the last update. Most services continue but restrictions on leisure activities for example have seen the re closure of the Leisure Centres following a partial lifting of restrictions late last year. A review of customer engagement is underway to ensure customers without ICT access are supported through telephone or personal contact. Staff continue to work remotely and the Offices in line with Government Guidance remain open only for essential activities.
R13	Failure to manage impact of organisational change	2	3	6	Risk Rating Unchanged	Q3 - No Change
R16	Corporate policies not managed and reviewed	2	2	4	Risk Rating Unchanged	Q3 - No Change