

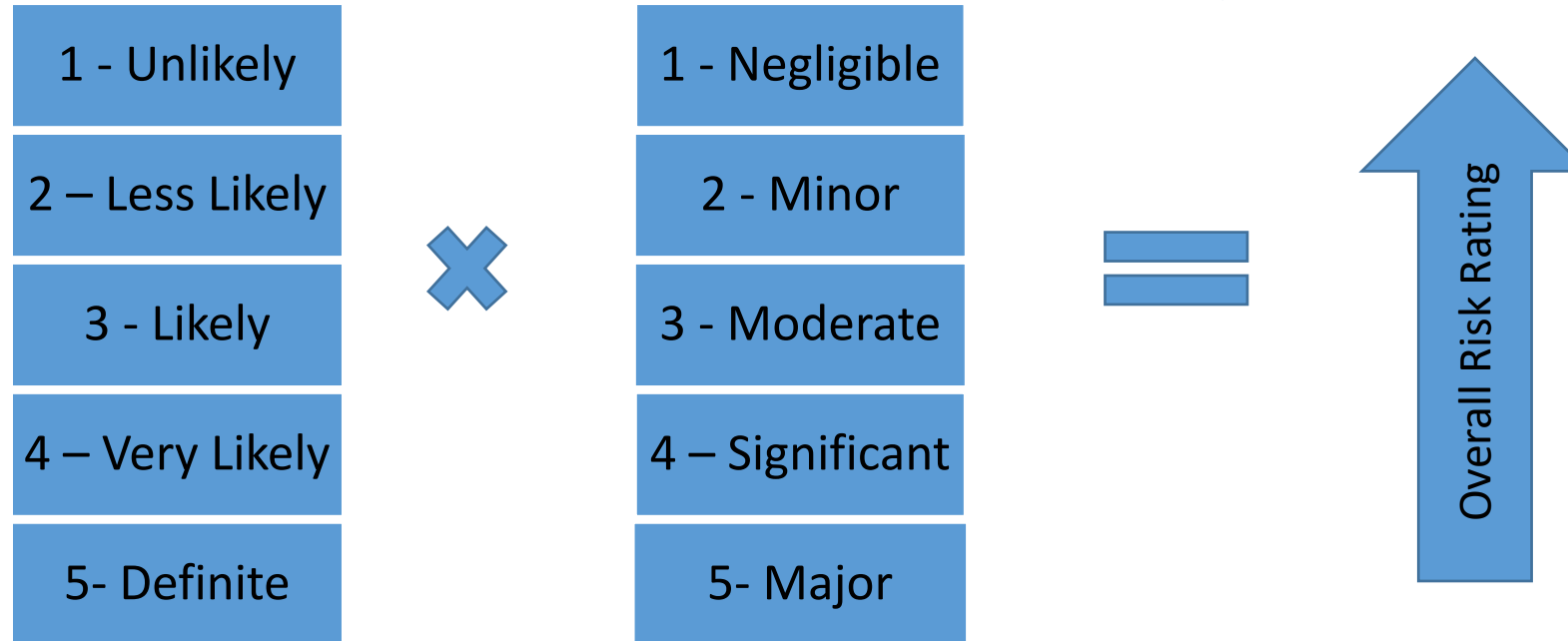
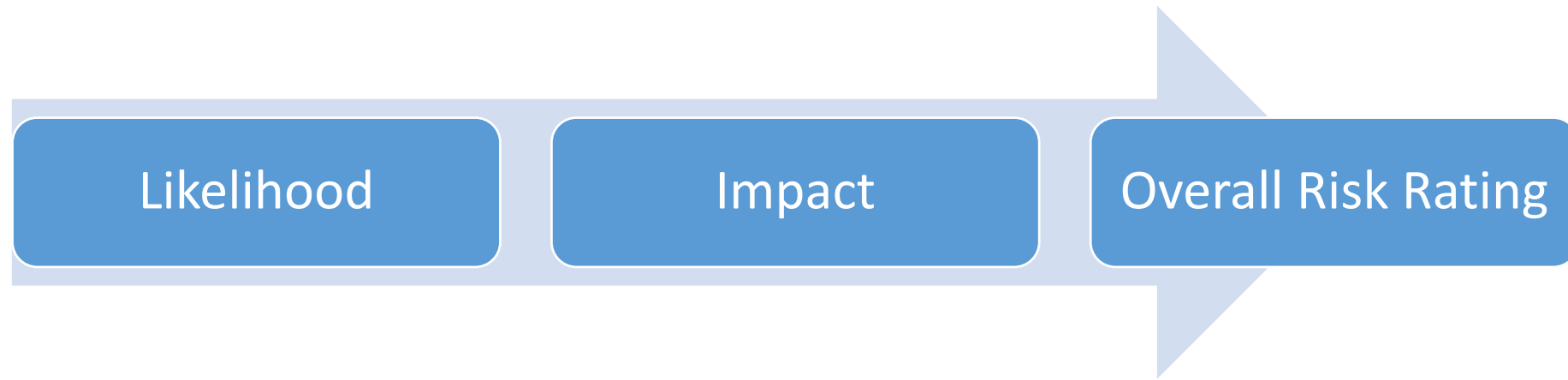
Corporate Risk Report Q2 – Appendix 1

23rd October 2020

Please note risks are sorted in 'Overall Risk Rating' descending order

Risk Assessment Scale

APPENDIX 1



Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R15	Failure to plan and deliver balanced budgets over the medium term	4	5	20	Risk Rating Unchanged	Q2 - The MTFS is constantly being updated and reported to Members. There is a significant financial impact as a result of COVID-19 but in the short term there are sufficient reserves to address this. The longer term impact is uncertain and the position is being monitored and reported.
R7	Failure to maintain a 5 year supply of Housing Land	4	5	20	Risk Rating Unchanged	Q2 - The 5YHLS is being finalised, but its likely the threshold will not be reached. Housing supply will be in the region of 4.8 years, subject to confirmation. Officers are working on mitigations.
R8	Failure to meet the affordable housing need	4	5	20	Risk Rating Unchanged	Q2 - Strategic site was subsequently approved so that impact has been mitigated. Still looking to bring forward new opportunities to meet the affordable housing demand.
R9	Failure to have a coordinated approach to supporting inward investment and maximising business rate growth	4	5	20	Risk Rating Unchanged	Q2 – Covid-19 remains the dominant force on the economic landscape and the full impact and implications of pandemic and related restrictions continue to be unknown. Data is starting to show the recent and current impact as very significant for the Maldon District businesses and future growth. The Covid-19 Economic Response and Recovery Plan is in pace and actions are being progressed. Essex County Council has yet to confirm their future approach to inward investment post-Invest Essex. An alternative delivery approach is being developed by officers. The development of an Enterprise Centre is part of the approved Place Plan and linked to DCO engagement for Bradwell B. A project concept proposal has been shared with partners and will be progressed for formal consideration.
R23	Financial resource and process issues	4	4	16	Risk Rating Unchanged	Q2 - The finance function is stable and there is one vacancy within the team. Following recruitment to the post and continued stability the likelihood rating will be recommended to be reduced.
R25	Failure to deliver finances associated to transformation programme.	4	4	16	Risk Rating Increased	Q2 - MTFS being reviewed - Impact of Covid on commercial income means commercial income and unidentified savings unlikely to be achieved

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R5	Failure to deliver the required infrastructure to support development arising from the LDP	4	4	16	Risk Rating Unchanged	Q2 - S106 monitoring and negotiation continues on strategic housing allocations to deliver necessary infrastructure in accordance with LDP. IDP Review progressing.
R1	Failure to safeguard children and vulnerable adults	3	5	15	Risk Rating Unchanged	Q2 - The Safeguarding Audit was completed in Aug 20. We are awaiting the written recommendations. Early suggestions are to implement a case management system, re-establish internal and external partnership meetings
R3	Failure to target services/influence partners effectively to support identified housing needs of increasing aging population	3	4	12	Risk Rating Unchanged	Q2 - Further general discussions have taken place about the use of commuted sums to purchase assets which can be used for development. We are also continuing to work with MHCLG regarding additional grants
R4	Failure to influence Community Safety partners to address the key areas of public concern (including rural crime) and the negative perception of crime	3	4	12	Risk Rating Unchanged	Q2 - The Council continues to work in partnership to address the main areas of public concern relating to crime and the fear of crime. No change.
R10	Failure to develop jobs to support the growing population	3	3	9	Risk Rating Unchanged	Q2 – Covid-19 remains the dominant force on the economic landscape and the full impact and implications of pandemic and related restrictions continue to be unknown. Data is starting to show the recent and current impact as very significant for the Maldon District businesses and future growth. The Covid-19 Economic Response and Recovery Plan is in pace and actions are being progressed. Engagement with business groups continue to be a significant focus of work to support recovery. Work is progress on the Sense of Place Plan including engagement with strategic partners to develop initiatives and actions to ensure we support the creation of jobs for our growing population through investment and business growth.

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R14	Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service(i.e.) Planners	3	3	9	Risk Rating Unchanged	Q2 - The Council has adapted recruitment processes during Covid-19 and has now broken the links requiring physical attendance full time within the Council Offices to allow a greater range of candidates to choose from. This only applies to some suitable roles (mainly specialists) Recruitment into specialist posts remains challenging (hence the change to our recruitment criteria) and officers continue to monitor vacancies on an ongoing basis
R11	Failure to protect personal or commercially sensitive data	2	4	8	Risk Rating Unchanged	Q2 - Data Protection training completed by 79% staff. Document Retention Policy updated. Paper files being scanned and destroyed in order to improve security.
R2	Failure to target services and influence partners with the aim of having an effective outcome on the identified (health and wellbeing) needs of the vulnerable population	2	4	8	Risk Rating Unchanged	Q2 - As mentioned in previous reports, we are still at risk of not being able to target services where our most vulnerable residents are and what a priority is for them now until we receive post-COVID-19 data from PH and ECC bodies. It is still too early to understand the full impact COVID-19 has had on our most vulnerable residents. However, a report published by Public Health Intelligence of Essex County Council into themes related to health inequalities and COVID-19, and Essex Residents Survey 2020 may provide some insight into areas we may have to consider going forwards. The report by Public Health Intelligence of Essex County Council indicates that Maldon residents may have heightened risk to COVID-19 due to higher prevalence of specific illnesses and conditions, most notably obesity, depression and mental health in the District. These are areas that have been incorporated into the health and wellbeing priorities for MDC but we may have to increase our focus on these areas going forward. In the Essex Residents Survey 2020, published in September, Maldon residents suggested they were most worried about their health and fitness and mental health and wellbeing. This may also indicate the Council health and wellbeing focuses going forward. Partnership working has remained strong throughout Q2 and will be imperative moving forward to mitigate future risks. Public Health Intelligence at Essex County Council, 2020. Health Inequalities And COVID-19. Essex County Council.

Title	Risk Description	Likelihood	Impact	Overall Risk Rating	Rating direction	Commentary
R29	Failure to deliver services as a result of COVID- 19	2	4	8	Risk Rating Unchanged	Q2 - The Council has maintained services throughout the pandemic by adapting and changing the way we interact with Customers. The Council has moved to on line service provision where it is able to do so. Face to face service provision has increased from October with customers able to book appointments with officers at the main Council Offices. Similar greater access has been provided for customers of the CAB and Police who share reception facilities. CLT working with managers continue to review performance through the use of a balanced score card system to ensure customer service is maintained during these challenging times.
R13	Failure to manage impact of organisational change	2	3	6	Risk Rating Unchanged	Q2 - No change - risk monitored through balanced scorecard and resources adjusted where needed to address pressures through Covid
R16	Corporate policies not managed and reviewed	2	2	4	Risk Rating Unchanged	Q2 - No Change
R26	Failure to deliver channel shift, through lack of customer engagement or undelivered processes	1	4	4	Risk Rating Decreased	Q2- Significant channel shift achieved and embedding as a result of Covid working arrangements.
R12	A Committee structure which is not cost effective	1	3	3	Risk Rating Unchanged	Q2 - No Change
R24	Loss of key skills and knowledge across the organisation, and failure to embrace new working cultures.	1	3	3	Risk Rating Unchanged	Q2 - No Change