



REPORT of INTERIM SECTION 151 OFFICER

**to
AUDIT COMMITTEE
29 AUGUST 2019**

INTERNAL AUDIT UPDATE REPORT

1. PURPOSE OF THE REPORT

1.1 This report provides a progress update on the following aspects of Internal Audit:-

- work completed and any deviances to, or slippage, on the Internal Audit Plan 2019/20; and,
- implementation of recommendations raised by Internal Audit.

2. RECOMMENDATIONS

- (i) that the progress against the 2019/20 Internal Audit Plan (**APPENDIX 1**) is reviewed and commented on;
- (ii) that the final Building Control report – July 2019 (**APPENDIX 2**) is reviewed and commented on;
- (iii) that the final Risk Management report – July 2019 (**APPENDIX 3**) is reviewed and commented on.;
- (iv) that the Internal Audit – Follow-Up of Recommendations report (**APPENDIX 4**) is reviewed and commented on.

3. SUMMARY OF KEY ISSUES

3.1 2019/20 Internal Audit Progress report

3.1.1 Details of the progress to date against the 2019/20 Internal Audit Plan are attached at **APPENDIX 1**, this includes the final audits on GDPR Compliance (appendix b) and Local Development Plan, (appendix c)

3.2 Final Building Control report

3.2.1 Internal Audit's review of the Building Control Service and performance to determine the effectiveness of the controls the Council has in place, attached at **APPENDIX 2**.

3.3 **Final Risk Management report**

3.3.1 Details of Internal Audit's key findings in relation to risk maturity within the Council, report attached at **APPENDIX 3**

3.4 **Internal Audit Follow-up of Recommendations report**

3.4.1 Details of the progress to date with regard to the implementation of recommendations raised by internal audit are attached at **APPENDIX 4**.

4. CONCLUSION

4.1 This report updates the Audit Committee Members on matters relating to Internal Audit.

5. IMPACT ON STRATEGIC THEMES

5.1 The report links to the Maldon District Council Strategic Theme of providing clear direction for allocating and managing our resources, grant funding and capital expenditure.

6. IMPLICATIONS

- (i) **Impact on Customers** – None.
- (ii) **Impact on Equalities** – None.
- (iii) **Impact on Risk** – None.
- (iv) **Impact on Resources (financial)** – None.
- (v) **Impact on Resources (human)** –None.
- (vi) **Impact on the Environment** – None.

Background papers: Attached.

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